Ownership of Offeror
Background

Federal Acquisition Regulations (FAR): Commercial and Government Entity Code; FAR Case 2012-024.

• This rule uses the unique identification that a CAGE/NCAGE Code provides to identify vendor representation of Immediate Owner and Highest-Level Owner CAGE/NCAGE Codes (If applicable).
• There will be a requirement to answer questions within the CAGE/NCAGE Code section in the System for Award Management (SAM).

The FAR changes only apply to those domestic and foreign entities interested in obtaining Federal Contracts.

For the final rule amendment see FAR Case 2012-024: http://www.regulations.gov/#!documentDetail;D=FAR-2012-0024-0004
Highest-Level Owner

Domestic Entities

Highest-Level Owner and Immediate Owner must have a CAGE Code even if they have no intention of obtaining Federal Contracts.

- Highest-Level Owner and Immediate Owner will be able to apply for a CAGE Code via the DLA Enterprise Website (CAGE Code Request or Update) in lieu of registering in SAM. [www.dla.mil/CAGECodeRequestOrUpdate](http://www.dla.mil/CAGECodeRequestOrUpdate)

Foreign Entities

Highest-Level Owner and Immediate Owner must have a NCAGE Code even if they have no intention of obtaining Federal Contracts.

- Foreign Entities should contact their local NCB (National Codification Bureau) office to apply for an NCAGE Code.
- Foreign Entities can find their local NCB information located on page 6 of the pdf file: [https://eportal.nspa.nato.int/AC135Public/Docs/US%20Instructions%20for%20NSPA%20NCAGE.pdf](https://eportal.nspa.nato.int/AC135Public/Docs/US%20Instructions%20for%20NSPA%20NCAGE.pdf)
Ownership of Offeror Defined

- **Entity** – In business, an entity is a person, department, team, corporation, cooperative, partnership, or other group with whom it is possible to conduct business.

- **Offeror** – A person or entity who makes a specific proposal to another (the Offeree) to enter into a contract (the business or organization registering in SAM).

- **Immediate Owner** – An entity, other than the Offeror, that has direct control of the Offeror. Indicators of control include, but are not limited to, one or more of the following: ownership or interlocking management, identity of the interests among family members, shared facilities and equipment, common use of employees.

- **Highest-Level Owner** – The entity that owns or controls an Immediate Owner of the Offeror, or that owns or controls one or more entities that control an Immediate Owner of the Offeror. No entity owns or exercises control of the Highest-Level Owner.

- **CAGE Code Request or Update** – Highest-Level Owner and or Immediate Owner that will not be performing on Federal Contracts must obtain a CAGE code via the CAGE Code Request or Update that can be found on the DLA Enterprise Website. [www.dla.mil/CAGECodeRequestOrUpdate](http://www.dla.mil/CAGECodeRequestOrUpdate)

![Diagram of Ownership Structures]

There will be only one Highest-Level Owner.

Example

```
Highest-Level Owner
  /           \ Management Office
 /             /
Immediate Owner
  /     \ Location 1   Location 2
Offerors (Entities in SAM) Location 3
```
CAGE Ownership Details

Register Entity

CAGE Ownership Details

Page Description

Federal Acquisition Regulation (FAR) Subpart 4.18 requires that you provide information about your entity’s ownership and control as part of your SAM registration. We are gathering this information now to populate a provision you will certify to later in Reps & Certs. If you don't know your owner's CAGE/NCAGE Code, you can look it up. If your owner is located in the U.S. or its territories, not required to register in SAM, and doesn't have a CAGE Code, they need to get a CAGE Code before you can complete your registration. If your owner is located outside the U.S. or its territories, not required to register in SAM, and doesn't have an NCAGE Code, they need to request an NCAGE Code right away.

Does your entity have an Immediate Owner? If NO select Save and Continue. If YES...

Does another entity own or control the entity you are registering?*  
Yes  No

This is your Immediate Owner.

Is your Immediate Owner located outside the U.S. and its territories?*  
Yes  No

Enter your Immediate Owner’s CAGE Code.*

Select “Verify” to see the information for that CAGE Code. If this represents your Immediate Owner, answer the next question. If not, enter a different CAGE Code and select “Verify.” Look up the CAGE Code if you don’t know it.*

Enter Owner’s (N)CAGE Code  VERIFY

CAGE Details for Immediate Owner

CAGE Code:  ABCD2
Legal Business Name:  Your company
Address Line 1:  Your address
City:  Your city
State/Province:  Your state
Zip/Postal Code:  Your zip
Country:  Your country

Is the Immediate Owner located outside of the U.S. and its territories? If NO provide Immediate Owner CAGE Code and select verify. If YES continue to slide 6...
CAGE Ownership Details Continued

If your Immediate Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Immediate Owner and select verify.
Foreign Registrants whose Immediate or Highest-Level Owner does not have an NCAGE Code will have 90 days to supply an NCAGE Code before the registration expires.
Does another entity own or control your Immediate Owner? If **NO** select Save and Continue. If **YES**...

Is your Highest-Level Owner located outside of the U.S. and its territories? If **NO** provide Highest-Level Owner CAGE Code and select verify. If **YES** continue to slide 9...

**Representations and Certifications**

**Points of Contact**

**Submit Certification**

**Enter your Highest-Level Owner's CAGE Code.**

Select "Verify" to see the information for that CAGE Code. If this represents your Highest-Level Owner, select "Save and Continue." If not, enter a different CAGE Code and select "Verify." Look up the CAGE Code if you don't know it.

Enter Owner's (N)CAGE Code

**VERIFY**

**CAGE Details for Highest-Level Owner**

- CAGE Code: ABCD3
- Legal Business Name: Your company
- Address Line 1: Your address
- City: Your city
- State/Province: Your zip
- Zip/Postal Code: Your country
- Country: Your country
If your Highest-Level Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Highest-Level Owner and select verify.

Does another entity own or control your Immediate Owner?*
Answer the following questions about the Highest-Level Owner in the entire organization.

Is your Highest-Level Owner located outside the U.S. and its territories?*

Does your Highest-Level Owner already have an NCAGE Code?*

Enter your Highest-Level Owner's NCAGE Code.*
Select "Verify" to see the information for that NCAGE Code. If this represents your Highest-Level Owner, select "Save and Continue." If not, enter a different NCAGE Code and select "Verify." Look up the NCAGE Code if you don't know it.*

Enter Owner's (N)CAGE Code

CAGE Details for Highest-Level Owner

<table>
<thead>
<tr>
<th>NCAGE Code:</th>
<th>ABCD3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal Business Name:</td>
<td>Your company</td>
</tr>
<tr>
<td>Address Line 1:</td>
<td>Your address</td>
</tr>
<tr>
<td>City:</td>
<td>Your city</td>
</tr>
<tr>
<td>State/Province:</td>
<td>Your zip</td>
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<tr>
<td>Zip/Postal Code:</td>
<td>Your country</td>
</tr>
<tr>
<td>Country:</td>
<td></td>
</tr>
</tbody>
</table>
Contact the Federal Service Desk for assistance with System for Award Management (SAM)

Hours of Operation

Monday - Friday 8 a.m. to 8 p.m. ET

U.S. Calls: 866-606-8220

International Calls: 334-206-7828

DSN: 866-606-8220

Version 2.0 10.23.2014