Ownership of Offeror
Background

Federal Acquisition Regulations (FAR): Commercial and Government Entity Code; FAR Case 2012-024.

- This rule uses the unique identification that a CAGE/NCAGE Code provides to identify vendor representation of Immediate Owner and Highest-Level Owner CAGE/NCAGE Codes (if applicable).
- There will be a requirement to answer questions within the CAGE/NCAGE Code section in the System for Award Management (SAM).

The FAR changes only apply to those domestic and foreign entities interested in obtaining Federal Contracts.

For the final rule amendment see FAR Case 2012-024: http://www.regulations.gov/#!documentDetail;D=FAR-2012-0024-0004
Highest-Level Owner

Domestic Entities

Highest-Level Owner and Immediate Owner must have a CAGE Code even if they have no intention of obtaining Federal Contracts.

- Highest-Level Owner and Immediate Owner will be able to apply for a CAGE Code via the DLA Enterprise Website (CAGE Code Request or Update) in lieu of registering in SAM. 
  www.dla.mil/CAGECodeRequestOrUpdate

Foreign Entities

Highest-Level Owner and Immediate Owner must have a NCAGE Code even if they have no intention of obtaining Federal Contracts.

- Foreign Entities should contact their local NCB (National Codification Bureau) office to apply for an NCAGE Code.
- Foreign Entities can find their local NCB information located on page 6 of the pdf file: 
  https://eportal.nspa.nato.int/AC135Public/Docs/US%20Instructions%20for%20NSPA%20NCAGE.pdf
Ownership of Offeror Defined

- **Entity** – In business, an entity is a person, department, team, corporation, cooperative, partnership, or other group with whom it is possible to conduct business.
- **Offeror** – A person or entity who makes a specific proposal to another (the Offeree) to enter into a contract (the business or organization registering in SAM).
- **Immediate Owner** – An entity, other than the Offeror, that has direct control of the Offeror. Indicators of control include, but are not limited to, one or more of the following: ownership or interlocking management, identity of the interests among family members, shared facilities and equipment, common use of employees.
- **Highest-Level Owner** – The entity that owns or controls an Immediate Owner of the Offeror, or that owns or controls one or more entities that control an Immediate Owner of the Offeror. No entity owns or exercises control of the Highest-Level Owner.
- **CAGE Code Request or Update** – Highest-Level Owner and or Immediate Owner that will not be performing on Federal Contracts must obtain a CAGE code via the CAGE Code Request or Update that can be found on the DLA Enterprise Website. www.dla.mil/CAGECodeRequestOrUpdate

Example

There will be only one Highest-Level Owner.
CAGE Ownership Details

**Does your entity have an Immediate Owner?**
If **NO** select Save and Continue. If **YES**...

<table>
<thead>
<tr>
<th>Register Entity</th>
<th>CAGE Ownership Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purpose of Registration</td>
<td>Federal Acquisition Regulation (FAR) Subpart 4.18 requires that you provide information about your entity's ownership and control as part of your SAM registration. We are gathering this information now to populate a provision you will certify to later in Reps &amp; Certs. If you don't know your owner's CAGE/NCAGE Code, you can <strong>look it up</strong>. If your owner is located in the U.S. or its territories, not required to register in SAM, and doesn't have a CAGE Code, they need to <strong>get a CAGE Code</strong> before you can complete your registration. If your owner is located outside the U.S. or its territories, not required to register in SAM, and doesn't have an NCAGE Code, they need to <strong>request an NCAGE Code</strong> right away.</td>
</tr>
</tbody>
</table>
| Core Data | Does another entity own or control the entity you are registering?*  
This is your **Immediate Owner.**  
Is your Immediate Owner located outside the U.S. and its territories?*  
Enter your Immediate Owner's CAGE Code.*  
Select “Verify” to see the information for that CAGE Code. If this represents your Immediate Owner, answer the next question. If not, enter a different CAGE Code and select “Verify.” **Look up** the CAGE Code if you don't know it.* |

<table>
<thead>
<tr>
<th>CAGE Details for Immediate Owner</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>CAGE Code:</td>
<td>ABCD2</td>
</tr>
<tr>
<td>Legal Business Name:</td>
<td>Your company</td>
</tr>
<tr>
<td>Address Line 1:</td>
<td>Your address</td>
</tr>
<tr>
<td>City:</td>
<td>Your city</td>
</tr>
<tr>
<td>State/Province:</td>
<td>Your zip</td>
</tr>
<tr>
<td>Zip/Postal Code:</td>
<td>Your country</td>
</tr>
</tbody>
</table>

* Is the Immediate Owner located outside of the U.S. and its territories? If **NO** provide Immediate Owner CAGE Code and select verify. If **YES** continue to slide 6...
CAGE Ownership Details Continued

If your Immediate Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Immediate Owner and select verify.

<table>
<thead>
<tr>
<th>Core Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>DUNS Information</td>
</tr>
<tr>
<td>Business Information</td>
</tr>
<tr>
<td>CAGE or NCAGE Code</td>
</tr>
</tbody>
</table>

**Register Entity**

**CAGE Ownership Details**

**DUNS:** 999999999

- **Page Description**
  Federal Acquisition Regulation (FAR) Subpart 4.18 requires that you provide information about your entity's ownership and control as part of your SAM registration. We are gathering this information now to populate a provision you will certify to later in Reps & Certs. If you don't know your owner's CAGE/NCAGE Code, you can look it up. If your owner is located in the U.S. or its territories, not required to register in SAM, and doesn't have a CAGE Code, they need to get a CAGE Code before you can complete your registration. If your owner is located outside the U.S. or its territories, not required to register in SAM, and doesn't have an NCAGE Code, they need to request an NCAGE Code right away.

**Does another entity own or control the entity you are registering?**
- Yes
- No

This is your Immediate Owner.

**Is your Immediate Owner located outside the U.S. and its territories?**
- Yes
- No

Does your Immediate Owner already have an NCAGE Code?
- Yes
- No

**Enter your Immediate Owner’s NCAGE Code.**
Select “Verify” to see the information for that NCAGE Code. If this represents your Immediate Owner, answer the next question. If not, enter a different NCAGE Code and select “Verify.” Look up the NCAGE Code if you don't know it.

**Enter Owner’s (N)CAGE Code**
- **VERIFY**

**CAGE Details for Immediate Owner**

- **NCAGE Code:** ABCD
- **Legal Business Name:** Your company
- **Address Line 1:** Your address
- **City:** Your city
- **State/Province:** Your zip
- **Zip/Postal Code:** Your country
- **Country:**
Foreign Registrants whose Immediate or Highest-Level Owner does not have an NCAGE Code will have 90 days to supply an NCAGE Code before the registration expires.

Alert
You will have ninety days after you submit your registration to come back and provide your Immediate Owner's NCAGE Code. If they haven't already, your Immediate Owner should request an NCAGE Code right away using the NCAGE Request Tool link in the Page Description section. If you do not log into SAM and update your registration to provide your Immediate Owner's NCAGE Code within ninety days, your SAM registration will expire.

Does another entity own or control your Immediate Owner?*
Answer the following questions about the Highest-Level Owner in the entire organization.
CAGE Ownership Details Continued

Does another entity own or control your Immediate Owner? If NO select Save and Continue. If YES...

Is your Highest-Level Owner located outside of the U.S. and its territories? If NO provide Highest-Level Owner CAGE Code and select verify. If YES continue to slide 9...

Representations and Certifications

Points of Contact

Submit Certification

Enter your Highest-Level Owner’s CAGE Code.*
Select “Verify” to see the information for that CAGE Code. If this represents your Highest-Level Owner, select “Save and Continue.” If not, enter a different CAGE Code and select “Verify.” Look up the CAGE Code if you don’t know it.*

Enter Owner’s (N)CAGE Code  VERIFY

CAGE Details for Highest-Level Owner

CAGE Code: ABCD3
Legal Business Name: Your company
Address Line 1: Your address
City: Your city
State/Province: Your zip
Zip/Postal Code: Your country
Country:

CANCEL  PREVIOUS  SAVE AND CONTINUE
CAGE Ownership Details Continued

If your Highest-Level Owner is located outside of the U.S. and its territories then provide the NCAGE Code for your Highest-Level Owner and select verify.

Representations and Certifications
Does another entity own or control your Immediate Owner?*
Answer the following questions about the Highest-Level Owner in the entire organization.

Points of Contact
Is your Highest-Level Owner located outside the U.S. and its territories?*

Submit Certification
Does your Highest-Level Owner already have an NCAGE Code?*

Enter your Highest-Level Owner's NCAGE Code.*
Select "Verify" to see the information for that NCAGE Code. If this represents your Highest-Level Owner, select "Save and Continue." If not, enter a different NCAGE Code and select "Verify." Look up the NCAGE Code if you don't know it.*

Enter Owner's (N)CAGE Code
VERIFY

CAGE Details for Highest-Level Owner
NCAGE Code: ABCD3
Legal Business Name: Your company
Address Line 1: Your address
City: Your city
State/Province: Your zip
Zip/Postal Code: Your country
Country:
Contact the Federal Service Desk for assistance with **System for Award Management (SAM)**

**Hours of Operation**

Monday - Friday 8 a.m. to 8 p.m. ET

**U.S. Calls:** 866-606-8220

**International Calls:** 334-206-7828

**DSN:** 866-606-8220